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UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE BOARD OF PATENT APPEALS
AND INTERFERENCES
Ex parte JEROME T. HARTLAUB
<del></del>
Appeal 2010-000291
Application 10/002,669
Technology Center 3600
D. C. ANTONIA PETERNIC LOOPINA PROGRESSIVA AND MAD
Before ANTON W. FETTING, JOSEPH A. FISCHETTI, and BIBHU R.
MOHANTY, Administrative Patent Judges.
FETTING, Administrative Patent Judge.
DECISION ON APPEAL <sup>1</sup>

<sup>&</sup>lt;sup>1</sup>The two-month time period for filing an appeal or commencing a civil action, as recited in 37 C.F.R. § 1.304, or for filing a request for rehearing, as recited in 37 C.F.R. § 41.52, begins to run from the "MAIL DATE" (paper delivery mode) or the "NOTIFICATION DATE" (electronic delivery mode) shown on the PTOL-90A cover letter attached to this decision.

## STATEMENT OF THE CASE<sup>2</sup>

1	STATEMENT OF THE CASE
2	Jerome T. Hartlaub (Appellant) seeks review under 35 U.S.C. § 134
3	(2002) of a final rejection of claims 12-26 and 39-48, the only claims
4	pending in the application on appeal. We have jurisdiction over the appeal
5	pursuant to 35 U.S.C. § 6(b) (2002).
6	The Appellant invented an automated patient scheduling system and
7	method for implantable drug delivery devices. Specification $\P$ 04.
8	An understanding of the invention can be derived from a reading of
9	exemplary claim 12, which is reproduced below [bracketed matter and some
10	paragraphing added].
11 12	12. An implantable drug delivery device for delivering at least one drug to a patient comprising in combination:
13	(a) at least one reservoir each containing at least one drug;
14 15	(b) a drug scheduling module for determining whether the drug should be replenished;
16 17 18 19 20	(c) an appointment scheduling module automatically initiated by the drug scheduling module and without scheduling input contemporaneously provided by the patient, for automatically scheduling an appointment to replenish the drug in the device; and
21 22 23	<ul> <li>(d) a telemetry module providing bi-directional communications with an external device for allowing the appointment scheduling module to schedule the appointment,</li> </ul>

<sup>2</sup> Our decision will make reference to the Appellant's Appeal Brief ("App. Br.," filed March 24, 2009) and Reply Brief ("Reply Br.," filed August 3, 2009), and the Examiner's Answer ("Ans.," mailed June 3, 2009), and Final Rejection ("Final Rej.," mailed October 10, 2008).

wherein the drug scheduling module receives data about the implantable drug delivery device, wherein the data is selected from the group consisting of drug usage information and drug management data.

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## The Examiner relies upon the following prior art:

Pilarczyk	US 4,766,542	Aug. 23, 1988	
Akers	US 6,112,182	Aug. 29, 2000	
Cummings, Jr.	US 6,345,620 B1	Feb. 5, 2002	
Mayer	US 2002/0010597 A1	Jan. 24, 2002	
Lebel	US 2002/0016568 A1	Feb. 7, 2002	

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- 8 Claims 12-15, 17-26, 39, and 44 stand rejected under 35 U.S.C. § 103(a)
- 9 as unpatentable over Lebel and Pilarczyk.
- Claims 40-41 and 45-46 stand rejected under 35 U.S.C. § 103(a) as unpatentable over Lebel, Pilarczyk, and Mayer.
- Claim 16 stands rejected under 35 U.S.C. § 103(a) as unpatentable over
  Lebel, Pilarczyk, and Akers.
- 14 Claims 42-43 and 47-48 stand rejected under 35 U.S.C. § 103(a) as 15 unpatentable over Lebel, Pilarczyk, and Cummings.

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#### ISSUES

The issue of whether the Examiner erred in rejecting claims 12-15, 17-26, 39, and 44 under 35 U.S.C. § 103(a) as unpatentable over Lebel and Pilarczyk turns on whether Lebel and Pilarczyk describe limitation (c) and limitation (h) of claims 12 and 21 respectively.

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The issue of whether the Examiner erred in rejecting claims 40-41 and 1 45-46 under 35 U.S.C. § 103(a) as unpatentable over Lebel, Pilarczyk, and 2 Mayer turns on whether the Appellant's arguments in support claims 12 and 3 21 are found persuasive and whether a person with ordinary skill in the art 4 would have been motivated to combine Lebel, Pilarczyk, and Mayer. 5 The issue of whether the Examiner erred in rejecting claim 16 under 35 6 U.S.C. § 103(a) as unpatentable over Lebel, Pilarczyk, and Akers turns on 7 whether the Appellant's arguments in support claims 12 and 21 are found 8 9 persuasive and whether a person with ordinary skill in the art would have been motivated to combine Lebel, Pilarczyk, and Akers. 10 The issue of whether the Examiner erred in rejecting claims 42-43 and 11 47-48 under 35 U.S.C. § 103(a) as unpatentable over Lebel, Pilarczyk, and 12 Cummings turns on whether the Appellant's arguments in support claims 12 13 and 21 are found persuasive. 14 15 16 FACTS PERTINENT TO THE ISSUES The following enumerated Findings of Fact (FF) are believed to be 17 supported by a preponderance of the evidence. 18 Facts Related to the Prior Art 19 Lebel 20 01. Lebel is directed to implantable infusion pumps and external 21 devices for communicating therewith. Lebel ¶ 0002. Lebel is 22 concerned providing a system that has enhanced operation 23

performance and user interface capabilities. Lebel ¶ 0009. Lebel

describes an implantable device that records various events that stop the delivery of insulin, such as alarms or refills. Lebel ¶ 0180.

# Pilarczyk

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02. Pilarczyk is directed to hardware and software used in a pharmacy to automatically contact customers whose prescriptions need to be refilled. Pilarczyk 1:7-11. The system automatically telephones each customer whose prescription needs refilling. Pilarczyk 1:54-56. The system performs an ongoing review of prescription activity prior to sending information to a schedule file. Pilarczyk 6:15-17. The ongoing review is initiated by the pharmacist. Pilarczyk 6:29-30. The system calculates the refill due date based on the daily prescription activity. Pilarczyk 6:46-47. The pharmacist selects the time span over which he wishes to review the schedule file for customers he wants to contact and the time span of prescription refill due date to be notified. Pilarczyk 6:61-65 and 7:29-31. Once the period of due dates to be covered is selected, the telephoning task is automatically undertaken. Pilarczyk 7:33-35. The system then places an automated call to the customer and uses a voice synthesizer to provide the customer with a refill reminder. Pilarczyk 6:40-54 and 7:11-34.

#### Akers

03. Akers is directed to data processing systems used to manage delivery of health care services. Akers 1:8-10. Akers is concerned with enabling patients to utilize supplemental

healthcare services. Akers 1:46-52. Akers describes a system where a pharmacist is triggered to take further action controlled by a second process when the pharmacist dispenses drugs. Akers 1:53-58. Such a process includes scheduling an appointment for monitoring lifestyle, health, or disease states. Akers 2:1-8.

#### Mayer

04. Mayer is directed to computerized tools for tracking, maintaining, and managing various aspects of patients' healthcare. Mayer ¶ 0003. Mayer is concerned with providing individuals with a greater degree of control and direct involvement over one's own healthcare. Mayer ¶ 0012. Mayer describes a set of software tools that can be used by a consumer to store, maintain, and track his/her own medical data. Mayer ¶ 0013. One such tool is an appointment making tool that automatically requests appointment with physicians or health care providers and manages set appointments. Mayer ¶ 0050.

#### Cummings

05. Cummings is directed to a scheduling interface for booking appointments with a professional, such as a doctor, or a professional service, such as a medical testing service, even when scheduling details may contain sensitive or highly personal information and when the office of the professional or professional service is closed. Cummings 1:13-20. Cummings is concerned with scheduling appointments with a professional when the professional's office is unable to schedule appointments or

office hours are closed. Cummings 1:39-47. Cummings describes that a patient contacts a call center and the call center creates an appointment for the patient. Cummings 7:5-10. The call center accesses a master schedule database that includes all of the times that a physician is willing to accept appointments. Cummings 8:1-10. The call center then sets the appointment. Cummings 8:20-22.

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#### ANALYSIS

Claims 12-15, 17-26, 39, and 44 rejected under 35 U.S.C. § 103(a) as unpatentable over Lebel and Pilarczyk

12 The Appellant contends that Pilarczyk fails to describe scheduling limitation (c) of claim 12 and as described in limitation (h) of claim 21. 13 App. Br. 13-14. The Appellant specifically argues that Pilarczyk only 14 describes that a voice synthesizer reminds the customer to refill a 15 prescription and this is not the same as scheduling an appointment. App. Br. 16 14. We disagree with the Appellant. Limitation (c) and limitation (h) of 17 claims 12 and 21 require scheduling an appointment for a patient, without 18 receiving input provided by the patient, to replenish the drug in the device. 19 Lebel describes an implantable, telemetric device that records events, such 20 as the need to refill insulin. FF 01. Pilarczyk describes a tool in a pharmacy 21 that automatically contacts customers whose prescriptions need to be 22 refilled. FF 02. Pilarczyk specifically describes that a pharmacist designates 23 a time period for due dates to contact customers and the system 24 automatically contacts each customer that requires a refill for a prescription. 25

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- 1 FF 02. The system automatically dials the customer's phone numbers and
- uses a voice synthesizer to communicate to the customer to refill a drug 2
- prescription. FF 02. The Appellant agrees this is what is described by the 3
- cited prior art and contends that this notification is not the same as 4
- scheduling an appointment. App. Br. 13-14. The term "appointment" 5
- encompasses any meeting set for an understood time, place, or purpose. 6
- 7 Here, Pilarczyk describes a communication to a customer for a meeting with
- a specific place, purpose, and a relatively time. Although Pilarczyk does not 8
- use the exact term "appointment," Pilarczyk does functionally describe the 9
- scheduling of an appointment. The Appellant fails to further provide any 10
- 11 rationale as to how the teachings of Pilarczyk are functionally distinguished
- 12 from scheduling an appointment. As such, the combination of Lebel and
- Pilarczyk describes limitations (c) and limitation (h) of claims 12 and 21. 13
- The Appellant further contends that there would have been no reason for 14 one of ordinary skill in the art to be motivated to provide an automatic
- scheduling module automatically initiated by a drug scheduling module. 16
- 17 App. Br. 14-15. We disagree with the Appellant. Lebel and Pilarczyk are
- concerned with patient's use of prescribed medications. FF 01-02. Lebel 18
- describes a device that alarms users when a drug refill is required. FF 01. 19
- Pilarczyk also solves this problem by automatically notifying customers
- 20
- 21 when a prescription may need to be refilled. FF 02. A person with ordinary
- 22 skill in the art would have been motivated to combine Pilarczyk's
- description of notifying and scheduling the refill of a prescribed drug to 23
- Lebel's device in order for Lebel's implanted device to continue to provide 24
- medication to the patient in a prescribed manner. Lebel and Pilarczyk are 25
- 26 concerned with the same problem and a person with ordinary skill in the art

would have recognized to combine their teachings and this combination would have rendered predictable results.

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4 Claims 40-41 and 45-46 rejected under 35 U.S.C. § 103(a) as 5 unpatentable over Lebel, Pilarczyk, and Mayer

The Appellant contends that Mayer fails to cure the deficiencies argued supra in support of claims 12 and 21. App. Br. 15. We disagree with the Appellant. The Appellant's arguments in support of claims 12 and 21 were not found persuasive *supra*, and are not found persuasive here for the same reasons.

11 The Appellant also contends that a person with ordinary skill in the art would not have been motivated to combine Lebel, Pilarczyk, and Mayer. 12 App. Br. 16. We disagree with the Appellant. As discussed supra, Lebel 13 and Pilarczyk are concerned with providing a patient with a prescribed drug. 14 FF 01-02. Mayer is also concerned providing a patient with increased 15 control over medical information and solves this concern by providing a tool 16 that enables patients to have better control over their medical information. 17 FF 04. Mayer provides a specific appointment making tool that 18 19 automatically sets and manages appointments for a patient. FF 04. Such a tool increases the patient's ability to control medical appointments. A 20 person with ordinary skill in the art would have been motivated to combine 21 Mayer to Lebel and Pilarczyk in order to increase a patient's ability to 22 control and manage health care appointments. As such, a person with 23 24 ordinary skill in the art would have been lead to combine Lebel, Pilarczyk, and Mayer. 25

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Claim 16 rejected under 35 U.S.C. § 103(a) as unpatentable over Lebel,

Pilarczyk, and Akers

Pilarczyk, and Akers

The Appellant contends that Akers fails to cure the deficiencies argued supra in support of claims 12 and 21. App. Br. 16. We disagree with the Appellant. The Appellant's arguments in support of claims 12 and 21 were not found persuasive supra, and are not found persuasive here for the same reasons.

9 The Appellant also contends that a person with ordinary skill in the art would not have been motivated to combine Lebel, Pilarczyk, and Akers. 10 App. Br. 16. We disagree with the Appellant. As discussed *supra*, Lebel 11 and Pilarczyk are concerned with providing a patient with a prescribed drug. 12 FF 01-02. Akers is also concerned with the delivery of healthcare to 13 14 patients. FF 03. Akers triggers a pharmacist to provide a patient with further medical information including scheduling an appointment for 15 monitoring lifestyle and health or diseases states or conditions. FF 03. A 16 person with ordinary skill in the art would have been motivated to combine 17 Akers to Lebel and Pilarczyk in order to increase the medical options for a 18 19 patient and facilitate the scheduling of appointments to receive the other medical options. As such, Lebel, Pilarczyk, and Mayer are concerned with 20 delivering healthcare to patients and scheduling appointments for healthcare 21 22 and a person with ordinary skill in the art would have been lead to combine 23 their teachings.

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1	Claims 42-43 and 47-48 rejected under 35 U.S.C. § 103(a) as
2	unpatentable over Lebel, Pilarczyk, and Cummings
3	The Appellant contends that Cummings fails to cure the deficiencies
4	argued supra in support of claims 12 and 21. App. Br. 16-17. We disagree
5	with the Appellant. The Appellant's arguments in support of claims 12 and
6	21 were not found persuasive <i>supra</i> , and are not found persuasive here for
7	the same reasons.
8	
9	CONCLUSIONS OF LAW
10	The Examiner did not err in rejecting claims 12-15, 17-26, 39, and 44
11	under 35 U.S.C. § 103(a) as unpatentable over Lebel and Pilarczyk.
12	The Examiner did not err in rejecting claims 40-41 and 45-46 under 35
13	U.S.C. § 103(a) as unpatentable over Lebel, Pilarczyk, and Mayer.
14	The Examiner did not err in rejecting claim 16 under 35 U.S.C. § 103(a)
15	as unpatentable over Lebel, Pilarczyk, and Akers.
16	The Examiner did not err in rejecting claims 42-43 and 47-48 under 35
17	U.S.C. § 103(a) as unpatentable over Lebel, Pilarczyk, and Cummings.
18	
19	DECISION
20	To summarize, our decision is as follows.
21	• The rejection of claims 12-15, 17-26, 39, and 44 under 35 U.S.C.
22	§ 103(a) as unpatentable over Lebel and Pilarczyk is sustained.

1	<ul> <li>The rejection of claims 40-41 and 45-46 under 35 U.S.C. § 103(a) as</li> </ul>
2	unpatentable over Lebel, Pilarczyk, and Mayer is sustained.
3	• The rejection of claim 16 under 35 U.S.C. § 103(a) as unpatentable
4	over Lebel, Pilarczyk, and Akers is sustained.
5	• The rejection of claims 42-43 and 47-48 under 35 U.S.C. § 103(a) as
6	unpatentable over Lebel, Pilarczyk, and Cummings is sustained.
7	
8	No time period for taking any subsequent action in connection with this
9	appeal may be extended under 37 C.F.R. § 1.136(a). See 37 C.F.R.
10	§ 1.136(a)(1)(iv) (2007).
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12	<u>AFFIRMED</u>
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14 15	
16	mev
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18	Address
19	MEDTRONIC, INC.
20	710 MEDTRONIC PARKWAY NE
21	MINNEAPOLIS MN 55432-9924